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AUDIT, RISK AND ASSURANCE COMMITTEE
Rolling 12 Month Work Plan

MONTH		MAY 2021	JUN 2021	JUL 2021	AUG 2021	SEPT 2021	OCT 2021	NOV 2021	DEC 2021	JAN 2022	FEB 2022	MAR 2022	APR 2022
SPA Audit, Risk and Assurance Committee Date		5th	No mtg	15 th	No mtg	16 th	No mtg	No mtg	No mtg	20th	No mtg	No mtg	No mtg
All Meetings Standing Items	<ul style="list-style-type: none"> Minute/ Action Log from Previous Meeting Internal Audit Progress update against Annual Plan Improvement Tracker (SPA and PS) External Audit Progress Report Workplan Review 	✓		✓		✓				✓			
Internal Audit Reports	Annual Internal Audit Report	✓											
	Home Working Security	✓											
	Performance Management	✓											
	Payroll	✓								✓			
	Non Pay Expenditure Follow up	✓											
	Equality and Diversity					✓							
	Estates Management					✓							
	Strategic Planning									✓			
	Data Quality and Integrity					✓							
Quarterly Reports	Risk Management	✓		✓		✓				✓			
Bi-Annual Reports	Information Management			✓						✓			
Annual Cyclical Items	Annual Internal Audit Plan and future years audit plan									✓			
	Annual External Audit Plan	✓								✓			
	Draft Annual Report and Accounts					✓							
	External Audit Interim Report			✓									
	Pre submission to SG <ul style="list-style-type: none"> Annual Data Loss Report Annual Fraud & Theft Report 			✓									
	Project Plan for Preparation of Annual Accounts	✓											
	SPA Governance Statement and Annual Report					✓							
	Annual Review of Terms of Reference	✓											
	Annual Review of Committee Effectiveness	✓											
	All Committee Effectiveness Reports			✓									

Audit Risk and Assurance Committee Rolling Work Plan

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	Annual External Audit, Audit Report				✓														
	National Fraud Initiative – Annual Report				✓														
	Information Management Year End Review	✓																	
	Committee Forward Planning inc. internal audit	✓																	
Ad - Hoc	Deep Dive on Cyber Security	✓																	
	ICO Recommendations Progress Update	✓																	
	Technical Updates																		
	ICT Incidents																		
	Committee Strategic session following best value review																		
	Data Loss/Fraud & Theft Reporting																		
	Custody and Risk																		
	Climate Change																		
	Ethics and HR Issues																		
	Biometric Commissioner expectations																		
	Business Continuity																		
	Safe guarding																		
	Best value readiness																		
	Partnership working including local scrutiny																		
Evidence based assurance																			

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