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**AUDIT, RISK AND ASSURANCE COMMITTEE**  
**Rolling 12 Month Work Plan**

MONTH		JAN 2021	FEB 2021	MAR 2021	APR 2021	May 2021	JUN 2021	JUL 2021	AUG 2021	SEPT 2021	OCT 2021	NOV 2021	DEC 2021
<b>SPA Audit, Risk and Assurance Committee Date</b>		28th	No mtg	No mtg	27th	No mtg	No mtg	15 <sup>th</sup>	No mtg	16 <sup>th</sup>	No mtg	No mtg	No mtg
<b>All Meetings Standing Items</b>	<ul style="list-style-type: none"> <li>Minute/ Action Log from Previous Meeting</li> <li>Internal Audit Progress update against Annual Plan</li> <li>Improvement Tracker (SPA and PS)</li> <li>External Audit Progress Report</li> <li>Workplan Review</li> </ul>	✓			✓			✓		✓			
	Annual Internal Audit Report							✓					
<b>Internal Audit Reports</b>	Core Financial Systems	✓											
	Benefits Realisation and Efficiency Targets	✓											
	COVID-19	✓											
	Performance Management				✓								
	Corporate Governance												
	Payroll				✓								
	Forensic Case Management	✓											
<b>Quarterly Reports</b>	Risk Management	✓			✓			✓		✓			
<b>Annual Cyclical Items</b>	Annual Internal Audit Plan and future years audit plan	✓											
	Annual External Audit Plan				✓								
	Draft Annual Report and Accounts									✓			
	External Audit Interim Report							✓					
	Pre submission to SG <ul style="list-style-type: none"> <li>Annual Data Loss Report</li> <li>Annual Fraud &amp; Theft Report</li> </ul>							✓					
	Project Plan for Preparation of Annual Accounts				✓								
	SPA Governance Statement and Annual Report									✓			
	Annual Review of Terms of Reference				✓								
	Annual Review of Audit Committee Effectiveness				✓								
	Annual External Audit, Audit Report									✓			
	National Fraud Initiative – Annual Report							✓					

Audit Risk and Assurance Committee Rolling Work Plan

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Committee Forward Planning inc. internal audit					✓														
<b>Ad - Hoc</b>	Whistleblowing	✓																	
	Information Management	✓																	
	Technical Updates																		
	ICT Incidents																		
	Committee Strategic session following best value review																		
	Data Loss/Fraud & Theft Reporting																		
	Custody and Risk																		
	Climate Change																		
	Ethics and HR Issues																		
	Biometric Commissioner expectations																		
	Business Continuity																		
	Safe guarding																		
	Best value readiness																		
	Partnership working including local scrutiny																		
Evidence based assurance																			

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