

Meeting	SPA Audit, Risk and Assurance Committee
Date and Time	15 September 2020
Location	Video Conference
Title of Paper	Police Scotland Audit and Improvement Recommendations Tracker – Q2 Update
Presented By	ACC Alan Speirs, Professionalism and Assurance
Recommendation to Members	For Discussion
Appendix Attached:	YES Appendix A – Recommendations Tracker

PURPOSE

The purpose of this paper is to provide the Audit, Risk and Assurance Committee with an update of current open recommendations from all audit and improvement activity.

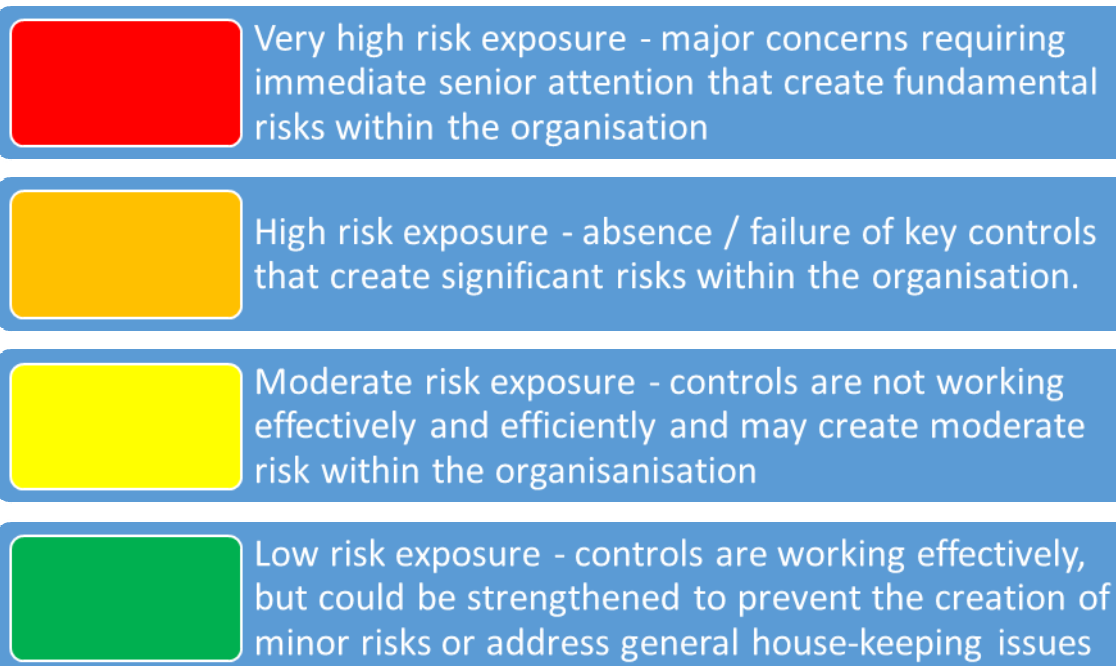
Members are invited to discuss the content of this paper.

1 BACKGROUND

The Audit and Improvement Tracker provides a methodology for recording, managing and updating all recommendations from external bodies.

The Tracker is provided at **Appendix A**. This provides a breakdown of all publications, risk and progress.

All recommendations are assessed in terms of the risk they present to Police Scotland so that we can prioritise activity. Internal Audit use the following risk grading structure and this has been applied to all recommendations within Police Scotland, regardless of whether they have been made by Internal Audit. This ensures a consistent approach is taken, allows for prioritisation and enables comparisons to be made.



2 FURTHER DETAIL ON THE REPORT

Closures

32 closed at this review – 11 High Risk, 19 Medium Risk and 2 Low Risk. A further 13 are close to completion and could be completed by the end of September. Of those closed, below is a summary of achievements.

- ***Strategic Custody***

A site induction protocol has been created for each custody centre so that those providing cover or remote supervision can quickly and easily learn about the centre, any risks and how they should be managed.

- **ICT Business Continuity and SCOPE**

ICT has established formal procedures for the testing and updating of business continuity plans in the event of ICT disruptions to ensure that business continuity plans are updated in light of changes made to the technology environment.

- **Staff Performance Management**

Procedures are in place for PDC Training and Guidance for the rollout of the revised PDC process in October 2020 (My Career).

- **Information Management – Data Security Management**

A significant piece of work has been undertaken to review the Information Management SOPs to ensure alignment with security guidelines (ISO 27001 and COBIT5). This offers greater protection to Police Scotland against data breaches. During this process all Information Management SOPs have been consolidated into a revised Information Management Policy Framework.

- **Strategic Workforce Planning (Project Arrangements)**

SWP communications plan produced to provide clarification on reporting arrangements. SWP Project Board have aligned their recording of Board meetings to record decisions/actions as standard.

- **Productions**

The roles and responsibilities of partners have been updated and a revised TOR produced.

- **Payroll**

Procedures are in place for periodic checks to the Masterfile payroll process to ensure that changes to payroll payments are correctly authorised

- **Stock Management**

KPI's have been defined for all Stores areas and Forensics have produced and implemented Stock Management

Procedures to cover stock issue, dealing with damaged/obsolete stock and to cover disposals. This ensures greater control over stock management processes avoiding waste, financial loss and misappropriation.

- **Transformation Change Management**

A Portfolio Management Framework has been published to include Programme initiation documentation guidance and reporting arrangements.

- **Call Handling**

Three recommendations have been concluded and evidence submitted to HMICS for closure. They include evidence of the Public Contact Strategy, C3 performance framework incorporating the reporting of failure demand and full implementation of the Contact Assessment Model to improve the assessment of vulnerability and risk.

- **Annual Policing Plan**

Following the revision of Scottish Government policing priorities, Police Scotland and the Scottish Police Authority revised the long term strategy for policing. This resulted in the production of the Joint Strategy for Policing and Annual Policing Plan 2020/21. The foundations for a future three year delivery plan have been made through the innovative approach for the Strategic Assessment 2020/23 that incorporated an Organisational and Operational Assessment that was supported through significant futures analysis. The Strategic Assessment process and governance and engagement processes have been reviewed and improved.

- **SWDF Review of Maternity and Paternity Provisions**

The SWDF undertook a review of maternity/paternity provisions within Police Scotland to seek feedback from staff on their experiences. As a result 4 high level recommendations emerged which have now been addressed. The improvements include a revised Adoption, Maternity, Paternity Leave SOP, improved communications and access to resources to help managers support staff more effectively, significant advancements and communication in relation to flexible working opportunities and improvements to how information is recorded on SCOPE.

- **SCQF Credit Rating**

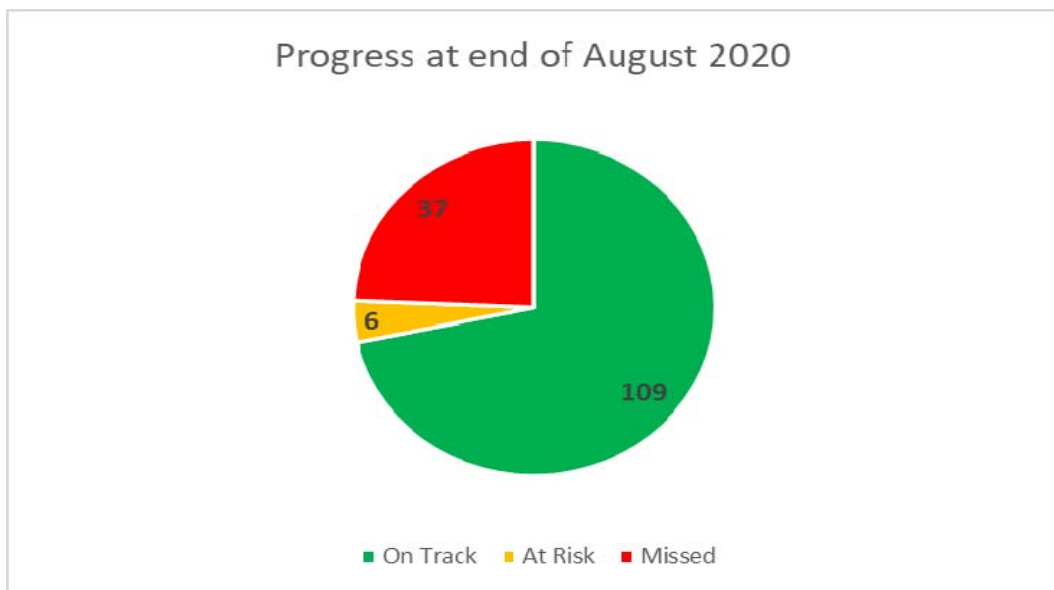
Following the Internal Assurance Review, administrative and governance processes have been reviewed and improved.

Open Recommendations

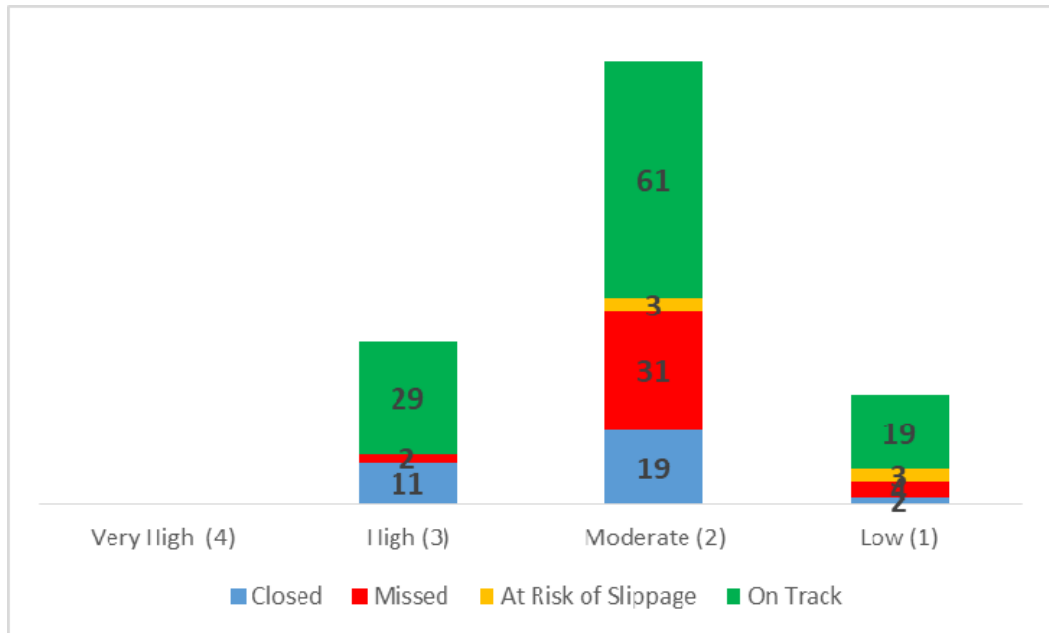
There are **152 recommendations open**. The following provides a summary of progress.

- **72%** of recommendations are on track for completion by their original target date compared to 67% at the last review. There are a number of recommendations at an advanced stage.
- **37** recommendations have missed their original timescale for completion. This is a reduction of 11 since the last report. 2 of these have a high risk status and are reported at Table 1 below.
- **20%** of all open recommendations have a high risk status.

Graph 1 shows the total number of recommendations according to progress

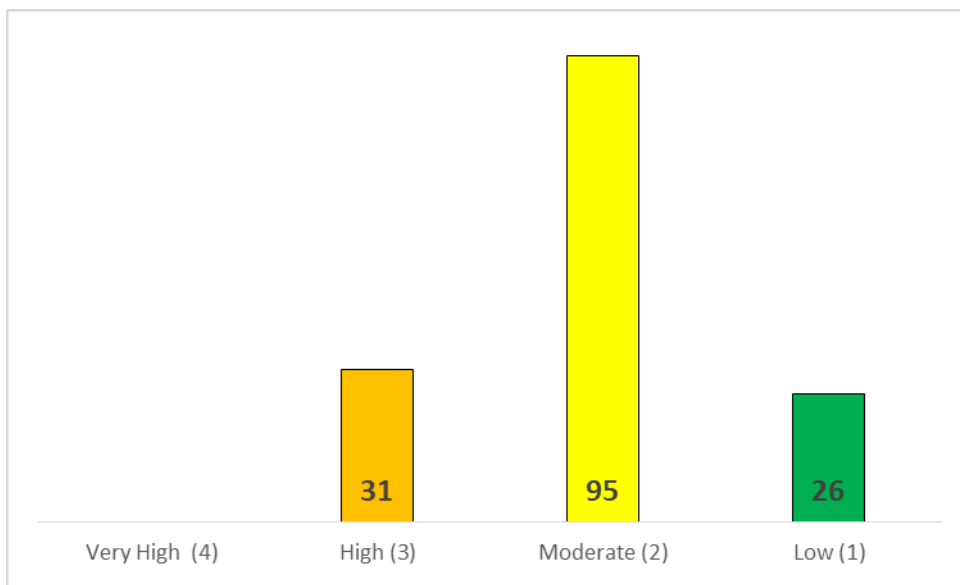


Graph 2 shows a breakdown of recommendations by Risk and Progress



Recommendations by Risk Status

Graph 3 shows the number of open recommendations according to risk. **There are no recommendations** with a very high risk rating. **31 recommendations** have a high risk rating. This represents 20% of all open recommendations.

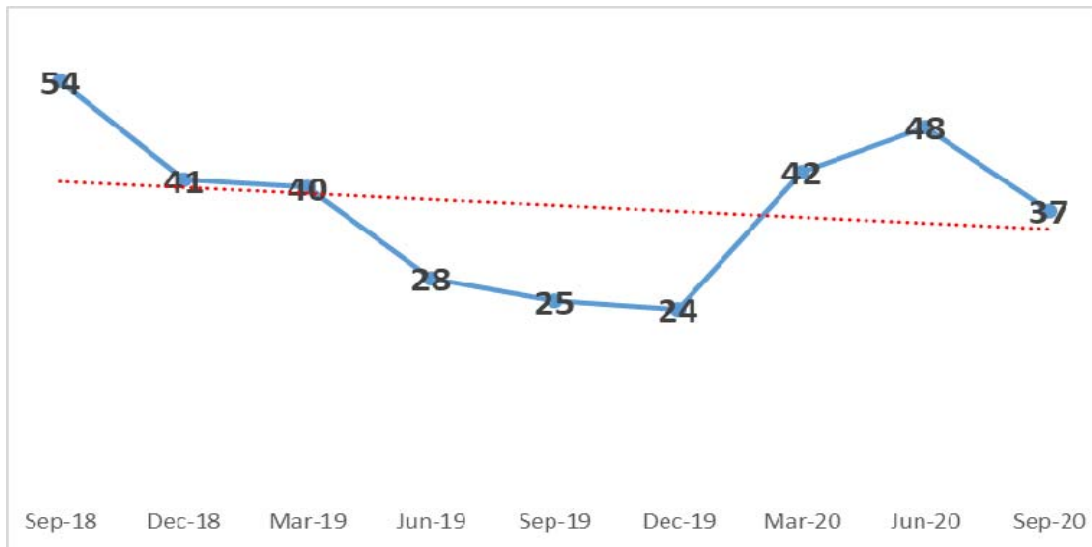


Recommendations Missed the Original Date of Completion

A total of 37 recommendations are showing as having missed their original date of completion (24% of the total number open). This is a reduction of 11 since the last report. 2 are High Risk, 31 are Medium Risk and 4 are Low Risk.

The graph below shows the number of missed recommendations at quarterly intervals over the last two years. The red dotted line shows the downward trend. Considerable progress had been achieved up to March 2020 when Covid-19 impacted and delayed progress with some recommendations.

Graph 4 – Trend of Missed Recommendations (Red line depicts trend)



In relation to this review 19 are cited as being missed due to Operation Talla (Police Scotland’s response to Covid-19) – this was because staff were reassigned to other priorities or moved to other roles or social distancing made it difficult to complete work. 4 are dependent on Scottish Government or Partner agencies completing work, 2 have been achieved and are awaiting implementation, 3 are dependent on ICT solutions and 9 have other reasons which mainly include greater than anticipated challenges to putting processes in place or awaiting decisions on future strategy or dependencies.

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Covid 19	Strategy/ ICT	SG / Partners	Implement -ing	Other
19	3	4	2	9

The **2 high risk recommendations** missed at this review are detailed at **Table 1**. They have not been reported previously and one is experiencing a minor delay which will be addressed by the end of September. The other is reliant upon a technical solution which is currently being explored therefore additional mitigation has been put in place to address the risk in the short term.

Table 1 – High Risk Recommendations Missed

Date Published	Report Title + Recommendation	Update + Reason for Missing	Owner	Due
January 2020 ~NEW~	<p>IT System Review – Efinancials and Asset 4000 - Internal Audit User and System Monitoring Risk - There is a risk that, without proactive review of user and system activity, management will not detect unusual or suspicious activity in a timely manner. This could result in sustained attempts to gain access to the systems or users with privileged access completing end to end financial transactions without this being detected.</p>	<p>Reason for delay – Complexity and Achievability It has not yet proven possible to integrate the eFinancials data to the existing SIEM (Security Incident Event Management) process, and as such the only report currently available would be to document log in times of users.</p> <p>To manage the risk and as an interim measure, a management report detailing system admin user access is being developed. This will be run by an independent party within finance, and will detail all actions by each of the three individuals with administrator access. Specific actions, such as raising journals or amending transactional data will be highlighted and investigated. This will be operational from mid-September.</p>	CFO	<p>July 2020</p> <p>Interim solution mid September</p> <p>Long term solution December 2020 (anticipated)</p>

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Date Published	Report Title + Recommendation	Update + Reason for Missing	Owner	Due
		We continue to work with ICT to find a final long term solution to remediate the situation.		
April 2020 ~NEW~	SPA Relocation Costs Reporting of Relocation Costs / Expenses Risk: The SPA Board is not adequately performing its governance duties by failing to actively oversee and scrutinise relocation expenses, resulting in the SPA not understanding the current position of relocation expenses being claimed or having knowledge of any expense movements in comparison with prior year amounts. This approach will undoubtedly result in reputational damage for the SPA if inappropriate, or fraudulent, expense claims are paid, and which became public knowledge.	Reason for delay – Change of Governance Route It was scheduled to go the SPA Resources Committee in June, however, the chair did not think the SPA resources committee was the most appropriate committee for this paper. As such the CFO has recommended it now goes to the SPA Succession Planning Committee. The next meeting of that committee is in September, the paper will be presented then and evidence available to Scott Moncrieff to review for closure.	CFO	June 2020 23 Sept 2020

New Recommendations

35 recommendations have been added to the tracker since the last report in July. 12 are High Risk, 19 Medium Risk and 4 Low Risk. They are indicated within Appendix A in red (~ NEW).

3 FINANCIAL IMPLICATIONS

3.1 There may be financial implications associated with implementing recommendations from Audits/Inspections and these are detailed where relevant within Appendix A – Recommendations Tracker.

4 PERSONNEL IMPLICATIONS

- 4.1 There may be personnel implications associated with implementing recommendations from Audits/Inspections and these are detailed where relevant within Appendix A – Recommendations Tracker.

5 LEGAL IMPLICATIONS

- 5.1 There may be legal implications associated with implementing recommendations from Audits/Inspections and these are detailed where relevant within Appendix A – Recommendations Tracker.

6 REPUTATIONAL IMPLICATIONS

- 6.1 There may be reputational implications associated with implementing recommendations from Audits/Inspections and these are detailed where relevant within Appendix A – Recommendations Tracker.

7 SOCIAL IMPLICATIONS

- 7.1 There may be social implications associated with implementing recommendations from Audits/Inspections and these are detailed where relevant within Appendix A – Recommendations Tracker.

8 COMMUNITY IMPACT

- 8.1 There may be a community impact associated with implementing recommendations from Audits/Inspections and these are detailed where relevant within Appendix A – Recommendations Tracker.

9 EQUALITIES IMPLICATIONS

- 9.1 There may be equality implications associated with implementing recommendations from Audits/Inspections and these are detailed where relevant within Appendix A – Recommendations Tracker.

RECOMMENDATIONS

Members are invited to discuss the content of this paper.

Internal Audit Recommendations Progress Tracker - Q2 September 2020													
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure		2	Moderate Risk Exposure		1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)					
		Total Closed	Closed Aug	Missed	Slippage	On track							
IT Service Delivery June 2017 15 recommendations	0	0					~ Closed ~ Testing was completed on 19th August. A report on the test was compiled and shared with Internal Audit.	CDIO					
	3	2	1										
	9	9											
	3	3											
HR Workforce Management July 2018 10 recommendations	0						WM 3.2 Monitoring of Rostering Requirements - this is being progressed by the Mobilisation Group. A presentation was given to SLB in February focusing on Force Mobilisation, with a recommendation that Resource Deployment restructuring was considered a priority for the organisation. The outcome was that given this year's expected events and demands, the review/restructure would be put on hold/postponed until after Operation Urram, which was scheduled to take place in November 2020 but now postponed until 2021. A request was made to reconsider this decision in light of this delay.	ACC Operational Support					
	8	7				1							
	2	2											
	0												
Staff Performance Management December 2018 5 recommendations	0						~Implementing~ Revised launch date indicated as October 2020 having previously been delayed to do Operation Talia. Internal Audit agreed to close 2 recommendations on account of evidence presented but require to see implementation before the remaining can be closed.	DoPD					
	1					1							
	3		1			2							
	1		1										
Financial Planning March 2019 4 recommendations	0						***Medium risk - Long Term Financial Plan - interim report provided on medium term planning scenarios with a full refresh of the longer term strategy was due March 2020 but due to delay in notification from Scottish Government re budget this has been further delayed to December 2020.	CFO					
	2	2											
	2	1				1							
	0												
Information Management - Data Security Management March 2019 6 recommendations	0						1 Medium Risk recommendation relating to the consolidation of IM SOPs is proposed for closure and will be reviewed by Internal Audit on 27 August 2020. 1 Medium Risk recommendation remains ongoing and has slipped to October 2020. IAO handbook is being drafted in collaboration with NPCC and has been delayed by partner engagement.	ACC Professionalism & Assurance					
	3	3											
	3	1	1	1									
	0												
IT Application Review - SCOPE March 2019 5 recommendations	0						~Closed~ * BCM/Disaster Recovery - Incremental approach preferred utilising recovery techniques (backup/restore). Testing took place on 19 August with final report produced on findings. Internal Audit reviewed and agreed closure.	CDIO					
	3	2	1										
	2	2											
	0												

Internal Audit Recommendations Progress Tracker - Q2 September 2020											
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure		2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)			
		Total Closed	Closed Aug	Missed	Slippage	On track					
Transformation Programme Assurance June 2019 6 recommendations (5 recs PS, 1 SPA)							~ Implementing ~ The Benefits Management Strategy was approved by May Change Board, with caveats regarding the Agile Process. The final part of implementation requires a minor ICT change which is delaying completion.	CDIO			
	6	5	1								
Stock Management June 2019 11 recommendations	2	2					~Proposed for Closure~ All audit points now addressed following the reporting on the agreed KPIs and publication of the Forensic Stock Management Procedures.	CFO			
	5	3	2								
	4	2	2								
IT Application Review - Efinancials and Asset 4000 August 2019 9 recommendations							2 actions were not fully met at the end of March 2020 and delayed as follows: 6.1 Business Continuity Testing (M) - was planned but not appropriate at this time due to risk of testing and other pressures on ICT as a result of COVID-19 - now 31.7.2020 now 31.01.21 7.1 User and System Monitoring (H) - Not technically possible at this point. ICT working with Finance to identify solutions. Interim process to be put in place to mitigate risk in short term. 1 recommendation on track for completion in December 2020 but with a key dependency 4.1 Systems Authorisation (H) - dependent on P2P	CFO			
	3	1	1		1						
	5	4	1								
	1										
Payroll December 2019 6 recommendations							1 Medium Risk regarding Masterfile Checks previously delayed now Complete. 3 recommendations not yet due.	CFO			
	1	1									
	5	1	1		3						
Strategic Workforce Planning April 2020 5 recommendations							2 Proposed for Closure 2 Not yet due and on track	DoPD			
	3	1	1		1						
	2		1		1						
Productions April 2020 3 recommendations							Amendments were tabled at the Productions Project board where feed back was received from the partners, this will be issued at the next Productions Project board for final sign off.	ACC Local Policing & CJSD			
	1	1									
	1		1								
	1	1									

Internal Audit Recommendations Progress Tracker - Q2 September 2020													
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure		2	Moderate Risk Exposure		1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)					
		Total Closed	Closed Aug	Missed	Slippage	On track							
Transformation Change Programme March 2020 10 recommendations	5						3 Proposed for Closure 5 Not Yet Due (on track) 2 recs (M) Delayed	CDIO					
	5		3			2							
	5			2		3							
	5												
SPA Relocation Costs April 2020 11 recommendations (1 for SPA)	8						~Implementing ~ 1 High Risk rec missed and remains open, linked to Finance Paper to SPA Resources Committee to advise of Relocation Expenses. Now to be submitted to SPA Succession Planning meeting in September 2020. Evidence not available for sending to Internal Audit.	CFO/ DoPD					
	2	7		1									
	2	2											
	2												
Demand and Productivity ~NEW~ June 2020 7 recommendations	3						Not Yet Due	Director of Strategy & Analysis					
	4					3							
	4					4							
	4												
Cyber Risk and Resilience ~NEW~ June 2020 4 recommendations	4						Not Yet Due	CDIO					
	4					4							
	4												
	4												
Data Protection and Retention ~NEW~ June 2020 8 recommendations	8						Not Yet Due	ACC Professionalism & Assurance					
	8					8							
	8												
	8												
Non Pay Expenditure 2019 ~NEW~ June 2020 7 recommendations	5						Not Yet Due	CFO					
	2					5							
	2					2							
	2												

Audit Scotland Recommendations Progress Tracker - Q2 September 2020										
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)		
		Total Closed	Closed Aug	Missed	Slippage	On track				
SPA Annual Audit Report (Final) 2018/2019 September 2019 4 recommendations							1 Medium Risk relating to Controls Environment is proposed for closure. * Medium / Long Term Financial Plans for Managing Finance Balances - timescale reset from end of March to December 2020. *Strategic Workforce Planning - due November 2020.	CFO / DoPD		
	4	1	1	1		1				
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)		
SPA Annual Audit Report - Interim 2019/2020 6 recommendations							Not yet due	CFO		
	6	1				5				

HMICS Recommendations Progress Tracker - Q2 September 2020											
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure		2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)			
		Total Closed	Closed Aug	Missed	Slippage	On track					
Custody 2014 REOPENED August 2014 15 recommendations	0						Health and Safety Audits - Main centres complete but HMICS will not support closure until a plan is in place for Ancillary Centres. Ancillary Centres remain on hold with Covid-19 and date moved to Dec 2020. Agreement to re-set date.	ACC Local Policing & CJS			
	5	5									
	5	4	1								
	5	5									
Forensic Services to Victims of Sexual Crime September 2017 4 recommendations							R05 (Examinations carried out in healthcare facilities rather than police offices) remains a work in progress but dependent on NHS Partners. Anticipated all health boards will be compliant in the next few months. Request for discharge once fully compliant. R10 (treatment of child suspects) this is challenging and not entirely within the gift of Police Scotland to address. Meeting to be arranged with HMICS to discuss practicalities of this recommendation and relevance for Police Scotland to progress.	ACC Crime & Public Protection			
	2	2									
	2		2								
Undercover Policing February 2018 19 recommendations							All recommendations remain ongoing, based on updates provided below, a milestone date for end of October established following the next Covert Compliance Group. <u>R1 - Strategy and Implementation Plan</u> - this has been impacted with COVID-19 however SLWG has been established and will progress further, a draft strategy has been prepared. <u>R13 - Substance Misuse Testing</u> - legal advised this needs to be introduced through regulations from the Scottish Ministers, paper drafted for next Covert Compliance Group scheduled to take place in October 2020. <u>R16 - Integrated Record Management System</u> - PS requires to purchase an upgrade of the SMAN system v5.1, this is being set up on the cloud to allow testing to ensure it is fit for purpose first, this is expected to take place Sept/Oct.	ACC OCCTI			
	6	6									
	4	4									
	9	6	3								
Firearms Licensing March 2018 24 recommendations							1 recommendation remains open relating to the SOP. SOP has now gone to mandatory consultation with a deadline date of 09/09/2020. Local consultation and EqHRIA are complete therefore can be submitted for closure when approved.	ACC Professionalism & Assurance			
	10	9	1								
	14	14									
Call Handling May 2018 8 recommendations							All three remaining recommendations submitted to HMICS for closure. Relating to Public Contact Strategy, Demand and Productivity and roll out of CAM.	ACC LP North & C3			
	0										
	8	5	3								
	0										

HMICS Recommendations Progress Tracker - Q2 September 2020														
Risk Grading Key	4	Very High Risk Exposure				3	High Risk Exposure		2	Moderate Risk Exposure		1	Limited Risk Exposure	
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)						
		Total Closed	Closed Aug	Missed	Slippage	On track								
Adult Support Protection Joint Inspection June 2018 16 recommendations							It should be highlighted these actions are areas for improvement and learning identified during the Joint Inspection but are not specific recommendations made by HMICS. Police Scotland are keen to progress these matters to demonstrate our commitment to organisational learning.	ACC Crime & Public Protection						
	1	1					The outstanding areas of work require health checks undertaken in all hubs to ensure best practice around staffing, guidance, performance/demand management and quality assurance processes are in place and working well. This has been achieved for the Hubs in the East and North but work on completing health checks in the West Hubs has been put on hold meantime. The final report is anticipated in September 2020.							
	11	6		5										
	4	4												
Review of Custody Centres 2018 August 2018 7 recommendations							R01 Estate strategy - Capital plan approved for implementation, subject to social distancing for contractors. Awaiting a copy to attach to closure report, SPOC for custody now on annual leave. R02 System improvement, workshop postponed due to COVID-19 and not suitable for teleconference. Approval to reset date. R05 Guidance & training on RA, linked to a pilot ran in the West of the country regarding constant observations and risk assessment. Ongoing to collate information and training material being delivered as a result. R07 UoF data, chair of the UOFMG, has now confirmed that Police Scotland will publish UoF data. Once the correct format has been agreed it will be published, probably quarterly on the PS website.	ACC Local Policing & CJS						
	4	2		1		1								
	3	1		1		1								
Review of Delivery of the Policing Plan March 2019 12 recommendations							A further 4 recommendations have been sent to HMICS for closure. 4 remain ongoing but are at advanced stage: R3 Strategic Intelligence Requirement R6 Deployment of Specialist Resources R7 Policing Model R8 Analysts Review	Director of Strategy & Analysis						
	11	3	4			4								
LP+ Greater Glasgow Inspection March 2019 11 recommendations							A number are at an advance stage for presenting to HMICS within the next month.	ACC Local Policing West (7) / Director of Strategy & Analysis (3)						
	11		1			10								
Greater Glasgow Custody Inspection June 2019 5 recommendations							R01 Custody Queues, no further roll out due to staff moving to C3 during COVID-19 R03 Consistency of RA, evidence gathering stage with this recommendation and in due course a closure report will be compiled with what has been achieved to present to SMT and query whether this will be accepted with the caveat that HMICS re-inspect at a future date. R05 Quality Assurance, Ongoing - weekly/monthly checks/ cross cluster audits quality assurance Sgt currently in place during COVID situation. Training material to review	ACC Local Policing & CJS						
	5	2				3								
LP+ Events Inspection May 2019 15 recommendations							Progress Stalled - Staff working full time on Op Talla so no action taken since March 2020. To progress once staff return from annual leave.	ACC Operational Support						
	15	3		12										

HMICS Recommendations Progress Tracker - Q2 September 2020										
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)		
		Total Closed	Closed Aug	Missed	Slippage	On track				
Strategic Review of Custody May 2019 12 recommendations	4						1 Evidence Submission Form submitted for on site induction protocol. R07, training and support ongoing however slipping. R08, appropriate supervision ongoing however slipping. R10, constable led custody centres ongoing however slipping. Remaining 5 not yet due and remain in progress.	ACC Local Policing & CJS ACC Professionalism & Assurance		
	3	3								
	2				2					
	7		1		1	5				
Information Commissioners Office Recommendations Progress Tracker - Q2 September 2020										
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)		
		Total Closed	Closed Aug	Missed	Slippage	On track				
Online Child Sexual Abuse February 2020 11 recommendations	4						SLWG established to progress recommendations and reports to TOCSA Tactical and Gold. First set of milestone dates set for end of July 2020.	ACC Crime and Public Protection / ACC OCCTI		
	5					5				
	5					5				
Information Commissioners Office Recommendations Progress Tracker - Q2 September 2020										
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure	2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)		
		Total Closed	Closed Aug	Missed	Slippage	On track				
Information Commissioners Office 136 recommendations - 3 reports June 2016, September 2016 & December 2017	4						Work to address this has been agreed at Information Governance Board. Request to ACC for approval to close as not met the spirit of the recommendation in its entirety but a long term planned agreed.	CDIO / ACC Professionalism & Assurance		
	59	59								
	26	25		1						
	51	51								

Other Recommendations Progress Tracker - Q2 September 2020											
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure		2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)			
		Total Closed	Closed Aug	Missed	Slippage	On track					
Mental Health Welfare Commission September 2018 1 recommendation	1					1	R05 - Significant variations of use of place of safety SharePoint site is now rolled out and it is showing variations real-time. There is still an issue with variations but we now have the capability to monitor and identify where these are. 6 monthly review of the MWC action plan is due soon so we will consider taking these figures to the Divisions when asking for their updates as to what they are doing locally to ensure accurate reporting and reducing the use of these emergency powers.	Safer Communities			
Independent Football Review March 2019 18 recommendations	1	1					R03 & R09 rely on the engagement strategy which is being approved at the COMB on 26/08/2020. R04 & R07 are undergoing further discussions and a further update will be available following the next FEWG in September 2020.	ACC Local Policing West			
	11	11									
	6	1	1	2	1	1					
Independent Review of Complaint Handling - Interim Report June 2019 12 recommendations	3	2				1	1 High rec remains open linked to Organisational Culture 1 Med rec remains open linked to PIRC access to Centurian	ACC Professionalism & Assurance DoPD			
	6	5				1					
	3	3									
Independent Advisory Group for Stop & Search May 2017 2 recommendations	2					2	IAGSS have made a number of recommendations within their 12 month review report however although PS will contribute to the execution, the recommendations are owned by Scottish Government. ACC Johnson attended the SPA Policing Performance Committee on 28 May and presented a paper updating them on the 2 outstanding actions from the IAGSS to the Scottish Government.	ACC Local Policing West			
Digital Forensics Working Group (DFWG) ~NEW~ April 2020 5 recommendations	5					5	Newly added for Tracking at the request of SPA.	ACC OCCTI			

Internal Recommendations Progress Tracker - Q2 September 2020											
Risk Grading Key	4	Very High Risk Exposure			3	High Risk Exposure		2	Moderate Risk Exposure	1	Limited Risk Exposure
Title & Date of Publication	Risk Rating	No. Open & RAG					Comments	Owner(s)			
		Total Closed	Closed Aug	Missed	Slippage	On track					
SWDF - Adoption, Maternity and Paternity Action Plan July 2019 4 recommendations							August 2020 - not progressed as P&D SMT postponed, due for presentation at P&D ODB 26/08. Report issued to P&D (15/06/20) for review-propose to close all actions and meet with SWDF to agree on revised/refreshed actions as part of BAU engagement with staff associations. Actions progressing and partially complete. Report to be compiled for Chair of SWDF on outcomes to seek agreement to close.	DoPD			
	4	1	3								
SCQF February 2020 5 Recommendations							All actions confirmed(07/07/20) as closed by QA Training Manager.	DoPD			
	3	1	2								
	2	2									
Review of Armoury, Jackton November 2019 6 recommendations							Actions progressing. Minor slippage relating to SOPs.	ACC Operational Support			
	1					1					
						2 3					
EqHRIA February 2020 6 recommendations							The EqHRIA Improvement group had to be postponed due to a major incident so we haven't made as much progress as we'd hoped this month. The next meeting will now take place on the 20 Aug. The key updates for this month are: The EqHRIA Improvement plan was approved at the Equality, Diversity and Human Rights Strategy Group (I can't remember if this was still in draft when we last emailed). The EqHRIA group is now creating short life working groups to drive the plan forward and will allocate owners and timescales at the next meeting on the 20th Aug. The EqHRIA training is no longer suspended and two courses are scheduled for Sept. Additional evaluation will be undertaken on these courses to support the review of the training and guidance.	ACC Safer Communities			
	3					3					
						3					
Complaints six stage process November 2019 17 recommendations							3 recs remain open-linked to QA process, vulnerable groups resources and decision on local screens provision-expected completion of September 2020.	ACC Professionalism & Assurance			
	17	14				3					
Scottish Crime Recording Standard Annual Audit "NEW" March 2020 4 recommendations							July 2020 No change since last quarter. Working Group established with a view to publish review findings in mid September.	ACC Crime			
	4					4					